

DOCKET NO.: BELL-0104/01030

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Dale W. Malik

Confirmation No.: 2096

Application No.: 09/888,719

Group Art Unit: 2141

Filing Date: June 25, 2001

Examiner: Nguyen, Quang N

For: SYSTEM AND METHOD FOR REGULATING ELECTRONIC MESSAGES

EXPRESS MAIL LABEL NO: EV 325724635 US

DATE OF DEPOSIT: February 11, 2005

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☐ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or

before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- ☒ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☒ Copies of reference numbers **2 thru 4** listed on the attached Form PTO-1449 are enclosed herewith.

- ☐ Copies of reference numbers - on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).

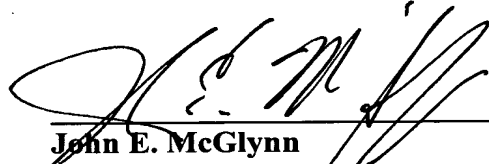
- ☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date:

2/11/05


John E. McGlynn
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Form PTO-1449 ModifiedDocket No.
BELL-0104/01030Application No.
09/888,719List of Patent and Publications
Cited by Applicant
(Use several sheets if necessary)Applicant
Dale W. MalikU.S. Department of Commerce
Patent and Trademark OfficeFiling Date
June 25, 2001Group
2141Confirmation No.
2096**U. S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass
	2	6,047,310	04/04/00	Kamakura, et al.	709	201
	3	6,088,696	07/11/00	Moon, et al.	707	10
	4	2002/0120702	08/29/02	Schiavone, et al.	709	207

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO

EXAMINER**DATE CONSIDERED**



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PATENT AND TRADEMARK OFFICE**

Paper: Information Disclosure Statement (in dupl. w/first class mail certification);, Form PTO-1449 (1 page) 1 reference cited/1 reference submitted; return postcard; all sent via first class mail

Applicant(s): Dale W. Malik

Title: System And Method For Regulating Electronic Messages

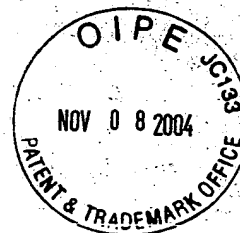
Application No.: 09/888,719

Filed: June 25, 2001

Docket No.: BELL-0104/01030

Date Sent: November 4, 2004

Sent By: Rocci/McGlynn/Ader



DOCKET NO.: BELL-0104/01030

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Dale W. Malik

Application No.: 09/888,719

Filing Date: June 25, 2001

For: SYSTEM AND METHOD FOR REGULATING ELECTRONIC MESSAGES

Confirmation No.: 2081

Group Art Unit: 2152

Examiner: Not Yet Assigned

DATE OF DEPOSIT: 11/4/04

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE UNITED STATES PATENT AND TRADEMARK OFFICE, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

TYPED NAME: John E. McGlynn
REGISTRATION NO.: 42,863

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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- ☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☒ Copies of reference numbers 1 listed on the attached Form PTO-1449 are enclosed herewith.

- ☐ Copies of reference numbers - on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).

- ☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

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- 3 -

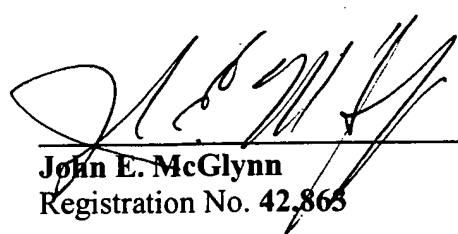
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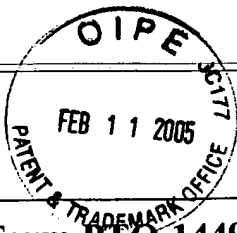
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Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. BELL-0104/01030	Application No. 09/888,719
	Applicant Dale W. Malik	
	Filing Date June 25, 2001	Group 2152
	Confirmation No. 2081	

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	1	09/888,718	06/25/01	Dale W. Malik		

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO

EXAMINER**DATE CONSIDERED**